

SCHOOLS ACHIEVING SUCCESS TOGETHER



SHERBORNE AREA SCHOOLS' TRUST

REPORTING LOW LEVEL CONCERNS POLICY

Published:	September 2024
Review due:	September 2025
Author:	HR Director/Head of Safeguarding

SAST Document Control Table			
Document Title	SAST Reporting Low- levels Concerns Policy		
Author	Catherine Watson: Head of HR		
Version No.	2.0	Trust/Non-Statutory	
Date Approved	September 2023		
Date of Next Review	September 2024		
Document History			
Version	Date	Author	Notes of Revisions
1.0	Sept 2022	HR Director/Head of Safeguarding	New policy to meet KCSIE 2022 requirements for reporting of low-level concerns about staff to support a transparent and vigilant safeguarding culture in SAST.
2.0	Sept 2023	HR Director	No revisions required
3.0	Sept 2024	Head of HR	No revisions required

SAST REPORTING LOW LEVEL CONCERNS POLICY

Contents

1. Statement of intent.....	2
2. Legal framework	2
3. Definitions.....	2
4. Roles and responsibilities.....	3
5. Prevention amongst staff.....	4
6. Reporting concerns	5
7. Self-reporting.....	6
8. Evaluating concerns	6
9. Acting on concerns.....	7
10. Record keeping	8
11. Monitoring and review.....	9
Appendix 1: Reporting a Low-Level Concern Form	10

1. Statement of intent

The Sherborne Area Schools' Trust (SAST) understands the importance of acknowledging, recording and reporting **all** safeguarding concerns, regardless of their perceived severity. We understand that, while a concern may be low-level, that concern can escalate over time to become much more serious.

SAST prides itself on creating a safe environment for pupils, and our staff are expected to adhere to high standards of behaviour when it comes to professional conduct regarding pupils. The trust has clear professional boundaries set out in the SAST Staff Code of Conduct which all staff are made aware of and will adhere to. We are committed to ensuring that any safeguarding concerns are dealt with as soon as they arise and before they have had a chance to become more severe, to minimise the risk of harm posed to our pupils and other children.

2. Legal framework

This policy has due regard to all relevant legislation and statutory guidance including, but not limited to, the following:

- [UK General Data Protection Regulation \(UK GDPR\)](#)
- [Data Protection Act 2018](#)
- [DfE \(2023\) 'Keeping Children Safe in Education 2023'](#)
- [DfE \(2018\) 'Working Together to Safeguard Children'](#)

This policy operates in conjunction with the following trust policies:

- **SAST Child Protection and Safeguarding Policy** (individual school- based policy)
- **SAST Staff Code of Conduct**
- **SAST Allegations of Abuse Against Staff Policy**
- **Whistleblowing Policy**
- **Data Protection Policy**

3. Definitions

For the purposes of this policy, a **low-level concern** is defined as any concern had about an adult's behaviour towards, or concerning, a child that does not meet the harms threshold (see below), or is otherwise not serious enough to consider a referral at the time of its reporting. Low-level concerns refer to behaviour on the part of a staff member towards pupils that is considered inappropriate in line with statutory safeguarding advice, the Staff Code of Conduct, and the [Appropriate and inappropriate behaviour](#) subsection of this policy.

Low-level concerns are differentiated from concerns that can cause **harm**. The harms threshold is the point at which a concern is no longer low-level and constitutes a threat of harm to a child. This threshold is defined as accusations that an adult has:

- Behaved in a way that has harmed a child or may have harmed a child.
- Possibly committed a criminal offence against, or related to, a child.
- Behaved towards a child in a way that indicates they may pose a risk of harm to children.
- Behaved in a way that indicates they may not be suitable to work with children, including behaviour that has happened outside of school.

While low-level concerns are, by their nature, less serious than concerns which meet the harms threshold, the trust understands that many serious safeguarding concerns, e.g. child

sexual abuse, often begin with low-level concerns, e.g. being overly friendly with children. The trust will ensure that all staff are aware of the importance of recognising concerns before they escalate from low-level to serious, wherever possible.

4. Roles and responsibilities

The **Trust Board** is responsible for:

- Ensuring that the trust complies with its duties under child protection and safeguarding legislation.
- Ensuring that policies, procedures and training opportunities with regard to reporting safeguarding concerns are compliant and effective.
- Guaranteeing that there is an effective Staff Code of Conduct that outlines trust expectations of professional behaviour.
- Ensuring that suitably trained DSLs have been appointed, alongside deputy DSLs where appropriate in SAST schools.
- Ensuring that there are robust reporting arrangements, including inter-agency collaboration.
- Ensuring that there are appropriate procedures in place to handle allegations and low-level concerns reported against members of staff.

The **CEO and Headteachers** are responsible for:

- Being a point of contact for all staff when they have safeguarding concerns, whether serious or low-level.
- Assessing whether safeguarding concerns about staff members meet the threshold for being termed an allegation, or whether they are low-level concerns.
- Implementing this policy, and all related policies, throughout the trust, and ensuring that staff adhere to it at all times.
- Safeguarding pupils' wellbeing and maintaining public trust in the teaching profession.
- Ensuring that all staff have undertaken safeguarding training.
- Ensuring that all staff have an ongoing awareness of low-level concerns and reporting procedures.
- Keeping detailed, accurate and secure records of all low-level concerns and any actions taken.

The **DSL** is responsible for:

- Being a point of contact for all staff when they have safeguarding concerns, whether serious or low-level.
- Assessing whether safeguarding concerns about staff members meet the threshold for being termed an allegation, or whether they are low-level concerns.
- Following all procedures outlined in this policy for acting upon low-level concerns.
- Liaising with the Headteacher, staff members, trustees and all relevant agencies to act upon concerns, where necessary.

Staff are responsible for:

- Adhering to all the relevant policies and procedures, including acting within the **Staff Code of Conduct** at all times.
- Interacting with pupils in a way that is respectful and appropriate for their level of authority and has due regard to the power imbalance between pupils and staff members.

- Understanding the importance of reporting low-level safeguarding concerns.
- Self-referral under low-level concern reporting procedure if required.
- Reporting any and all safeguarding concerns they may have about pupils immediately.
- Reporting any and all safeguarding concerns they may have about the behaviour of a member of staff immediately.

5. Prevention amongst staff

Appropriate and Inappropriate behaviour

The trust will ensure that all staff members are aware of the standards of appropriate behaviour expected towards pupils.

Staff will ensure that they pay due regard to the fact that:

- They are in a unique position of trust, care, responsibility, authority and influence in relation to pupils.
- There is a significant power imbalance in the pupil-staff dynamic.
- There are more stringent expectations on their behaviour with regard to pupils due to their position as a public professional.

Staff will remain aware of the fact that all pupils under the age of 18, regardless of the phase and year group they are at within the school, are children by law – resultantly, staff will ensure that they do not assume maturity on behalf of a pupil and do not engage with pupils as they would with their own peers. Staff will be aware that where there is any doubt regarding whether the behaviour of another adult is appropriate, this should be reported to the DSL immediately.

Inappropriate behaviour can exist on a wide spectrum, from inadvertent or thoughtless behaviour to behaviour which is ultimately intended to enable abuse. Examples of inappropriate behaviour that would constitute a low-level concern that should be reported to the DSL include:

- **Being overly friendly with children** – this could include, but is not limited to, communicating with a child through personal social media or allowing inappropriate conversations or enquiries to occur with pupils, e.g. conversations that are about a staff member's personal life or are of a sexual nature.
- **Having favourites** – this could include, but is not limited to, calling pupils by pet names or terms of endearment or buying pupils gifts.
- **Taking photographs of children on their personal mobile phones or devices.**
- **Engaging with a child on a one-to-one basis in a secluded area or behind a closed door.**
- **Using inappropriate or offensive language.**

Staff will be aware that some of the above low-level concerns may meet the harms threshold depending on certain factors, e.g. the age or needs of the child or the content of exchanged messages, and that some of the above incidents may not be concerns in context, e.g. a pre-approved, one-to-one meeting with a child behind a closed door between the child and a school counsellor who has received all appropriate safety checks.

Staff will also be made aware that behaviour which raises concerns may not be intentionally inappropriate, and that this does not negate the need to report the behaviour. Staff members

who engage in low-level inappropriate behaviour in relation to pupils inadvertently will be made aware and supported to correct this behaviour in line with the **Staff Code of Conduct**. The CEO/Headteachers will also evaluate whether additional training would be beneficial for any staff members exhibiting concerning behaviour, or the staff cohort as a whole where low-level concerning behaviour is seen more widely.

Trust Culture

SAST understands that spotting the early signs of harmful behaviour towards children can be difficult, and that many will be hesitant to report concerns they have about their colleagues' behaviour, particularly the behaviour of their superiors. Staff are encouraged to maintain an attitude that recognises that abuse can happen anywhere, in any setting, and that anyone can be a perpetrator regardless of their age, sex, level of authority, personality, etc.

The trust will ensure that all staff members have received training as part of their induction that outlines appropriate behaviour towards pupils for staff members. All staff will read, understand and adhere to the Appropriate and Inappropriate Behaviour subsection of this policy, as well as the Staff Code of Conduct.

Staff will address any questions they have regarding safeguarding to the DSL. SAST will work to foster an environment where personal and professional boundaries are clearly set and respected for all individuals in the trust community, e.g. pupils are not treated as friends and an appropriate professional distance is maintained by staff.

The trust will ensure that all staff are sufficiently trained surrounding the reporting of safeguarding concerns as part of their induction, and that refresher training is conducted as necessary. SAST will ensure that all staff understand how to recognise and report safeguarding concerns. Staff will be trained to identify concerning or problematic behaviour towards pupils that may indicate a safeguarding concern, and how to identify signs of abuse or harm in pupils.

Evaluating school culture following concerns

The trust will ensure that appropriate consideration is given to the school's culture and whether or not it has enabled the inappropriate behaviour to occur. The CEO/Headteacher or Head of Safeguarding will review whether any changes need to be made to relevant policies or training programmes in light of any evaluations of the school's culture, in order to achieve an open and transparent culture that deals with all concerns promptly and appropriately.

6. Reporting concerns

SAST will promote a culture in which safeguarding pupils is the uppermost priority, beyond any perceived professional loyalties to colleagues, ensuring that staff are actively encouraged to report concerns, regardless of their relationship with the staff member.

Staff will report all safeguarding concerns they have to their Headteacher or DSL immediately in line with the procedures laid out in the schools' Child Protection and Safeguarding Policy. Staff members will report concerns without undue delay. Where the report concerns a specific incident, staff members will report their concerns no later than **24** hours after the

incident where possible. Staff members will be aware that concerns are still worth reporting even if they do not seem serious.

Staff members will report their concerns to the Headteacher or DSL verbally, or by submitting a **Low-level Concern Reporting Form (See Appendix 1)**. When submitting concerns, staff will take care to ensure that they observe the **Allegations of Abuse Against Staff Policy**, and protect the identity of all individuals to which the concern pertains in accordance with data protection law.

Staff members in the central team will report their concerns verbally to the CEO or Head of Safeguarding or by submitting a **Low-level Concern Reporting Form (See Appendix 1)**.

Staff members may request anonymity when reporting a concern, and the school will endeavour to respect this as far as possible. The school will not, however, promise anonymity to staff members who report concerns in case the situation arises where they must be named, e.g. where it is necessary for a fair disciplinary hearing. In line with the Whistleblowing Policy, staff will be protected from potential repercussions caused by reporting a genuine concern.

Where a low-level concern relates to the Headteacher, it should be reported to the CEO. Where a low-level concern relates to the CEO, it should be reported to the Head of Safeguarding.

Where a low-level concern relates to a person employed by a supply agency or a contractor to work in the school(s), staff will also be required to report this to the Headteacher, who will, in turn, inform the employer of the subject of the concern. All concerns reported to the Headteacher will be documented in line with the **SAST Data Protection Policy**.

7. Self-reporting

On occasion, a member of staff may feel as though they have acted in a way that:

- Could be misinterpreted.
- Could appear compromising to others.
- They realise, upon reflection, falls below the standards set out in the Staff Code of Conduct.

The trust will ensure that an environment is maintained that encourages staff members to self-report if they feel as though they have acted inappropriately or in a way that could be construed as inappropriate upon reflection. The CEO, Headteacher and DSL will, to the best of their abilities, maintain a culture of approachability for staff members, and will be understanding and sensitive towards those who self-report.

Staff members who self-report will not be treated more favourably during any resulting investigations than staff members who were reported by someone else; however, their self-awareness and intentions will be taken into consideration.

8. Evaluating concerns

Where the CEO/Headteacher/DSL/Head of Safeguarding is notified of a safeguarding concern, they will use their professional judgement to determine if the concern is low-level or if it must be immediately escalated, e.g. where a child is at immediate risk of harm. When deciding if a concern is low-level, the Headteacher will discuss the concern with the DSL and the deputy Headteacher, and will seek advice from the SAST Head of Safeguarding or external agencies where there is any doubt about how seriously to take the concern. When seeking

external advice, the Headteacher will ensure they adhere to the **SAST Data Protection Policy**, and the information sharing principles outlined in the schools' **Child Protection and Safeguarding Policy**, at all times.

To evaluate a concern, the CEO, Headteacher or DSL will:

- Speak to the individual who raised the concern to determine the facts and obtain any relevant additional information.
- Review the information and determine whether the behaviour displayed by the individual about whom the concern was reported is consistent with the Staff Code of Conduct and the law.
- Determine whether the concern, when considered alongside any other low-level concerns previously made about the same individual, should be reclassified as an allegation and dealt with alongside the **Allegations of Abuse Against Staff Policy**.
- Consult with, and seek advice from, the Head of Safeguarding or external agencies when in doubt over the course of action to follow.
- Speak to the individual about whom the concern has been raised to inform them of the concern and to give them an opportunity to respond to it.
- Ensure that accurate and detailed records are kept of all internal and external conversations regarding evaluating the concern, and any actions or decision taken.

9. Acting on concerns

Where the Concern is Unfounded

If it is discovered upon evaluation that the low-level concern refers to behaviour that was not considered to be in breach of the Staff Code of Conduct and the law, the CEO or Headteacher will speak to the individual about whom the concern was made to discuss their behaviour, why and how the behaviour may have been misconstrued, and what they can do to avoid such misunderstandings in the future. The CEO or Headteacher will also speak to the individual who shared the concern, outlining why the behaviour reported is consistent with trust standards and the law. The Headteacher will take care to ensure that conversations with individuals who reported concerns that transpired to be unfounded do not deter that individual from reporting concerns in the future.

The CEO or Headteacher will discuss the concern with the Head of Safeguarding or the DSL to discern whether the behaviour, and the reporting of this behaviour, is indicative of ambiguity in the trusts policies or procedures, or the training it offers to staff. Where such ambiguity is found, the DSL and Headteacher will work together to resolve this with input from other staff members, as necessary.

Where the concern is low-level

Where the CEO or Headteacher determines that a concern is low-level, the trust or school will respond to this in a sensitive and proportionate manner. The following procedure will be followed:

- The Head of Safeguarding or DSL holds a meeting with the individual about whom the concern was reported, during which they will:
 - Talk to the individual in a non-accusatory and sympathetic manner.
 - Inform them of how their behaviour was perceived by the individual who reported the concern (without naming them, where possible).
 - Clearly state what about their behaviour was inappropriate and problematic.

- Discuss the reasons for the behaviour with the individual.
 - Inform the individual clearly what about their behaviour needs to change.
 - Discuss any support that the individual may require in order to achieve the proper standards of behaviour.
 - Allow the individual the opportunity to respond to the concern in their own words.
- The individual will be asked to re-read the **SAST Staff Code of Conduct** depending on the nature of the concern.
 - The CEO, Headteacher or DSL will consider whether the individual should receive guidance, supervision or any further training.
 - Where considered appropriate in the circumstances, an action plan will be developed, with input from the individual, that outlines ongoing and transparent monitoring of the individual's behaviour and any other support measures implemented to ensure the staff member's behaviour improves.
 - Where it is necessary to undergo an investigation into the behaviour, this will be done discreetly, and information will only be disclosed to individuals on a need-to-know basis.
 - Where any pupil or other individual has been made to feel uncomfortable by the individual's behaviour, they will be offered pastoral support, where appropriate.

All details of the low-level concern, including any resultant actions taken, must be recorded and securely stored in line with the **SAST Data Protection Policy**. The CEO, Headteacher or DSL will ensure that these records are kept organised and up-to-date, and that it is easy to refer back to them if any other concerns are reported about the same individual.

The specific approach to handling low-level concerns will be adapted on a case-by-case basis. It is unlikely that a low-level concern will result in disciplinary procedures; however, individuals may be given warnings in line with the **SAST Disciplinary Policy and Procedure** where behaviour does not improve once it is brought to their attention. Where behaviour does not improve over a longer period of time, the concerns will be escalated and dealt with in line with the **SAST Managing Allegations of Abuse Against Staff Policy**.

Where the Concern is Serious

The CEO or Headteacher may decide upon evaluation that a concern is more serious than the reporter originally thought, e.g. when viewed in conjunction with other evidence or other concerns made about the same individual. Where this decision is made, the concern will be escalated, and dealt with as an allegation. The CEO or Headteacher will then follow the procedures laid out in the **Allegations of Abuse Against Staff Policy**.

10. Record keeping

SAST schools will retain all records of low-level concerns, including those that were found to be unfounded. The Headteacher will ensure that all records include the most accurate and up-to-date information and will store them in the electronic low-level concerns file. The Headteacher will ensure that all low-level concerns are stored together, in an organised and consistent manner, to ensure they can be easily reviewed and analysed where necessary. Anonymised headline data may be shared with trustees to monitor compliance with this policy and meet strategic safeguarding obligations.

Records will include:

- A clear and comprehensive summary of the concern.
- Details of how the concern was followed up and resolved.
- A note of any action taken, decisions reached, and the outcome.
- The name of the individual sharing concerns – if the individual wishes to remain anonymous, this will be respected as far as reasonably possible.

The DSL or the Head of Safeguarding will periodically review the recent low-level concerns made to ensure that they are being appropriately dealt with and to check for any concerning behaviour patterns amongst the staff cohort as a whole. The Head of Safeguarding and DSL will keep records of these reviews and share with the Headteacher and trustees as necessary.

Where any concerning patterns of behaviour have been identified with regards to a member of staff, the DSL will consult with the Headteacher to decide on a course of action. Where a pattern of behaviour has become so concerning that it meets the harms threshold, this will be referred to the Head of Safeguarding and the LADO as soon as practicable.

Records of low-level concerns will not be kept in the personnel file of the individuals to whom the concerns pertain, unless there have been multiple low-level concerns made about the same individual. Where a concern is thought to be serious and is processed as an allegation, records of this will be kept in staff personnel files. Where multiple low-level concerns have been made about the same individual, these will be kept together, and in chronological order.

Where an allegation is made about an individual who has previously been subject to such allegations, or where a low-level concern is reclassified as a serious concern after meeting the harms threshold, all records of low-level concerns about that individual will be moved to the staff personnel file and kept alongside records of the allegation.

The DSL will ensure that all records are kept in a manner that is consistent with the Data Protection Policy. Records will be confidential, kept password-protected, and securely destroyed after the staff member to whom the concerns pertain has left the school.

The school will only refer to concerns about a staff member in employment references where they have amounted to a substantiated safeguarding allegation, i.e. it has met the harms threshold and has been found to have basis through investigation, or where it is not exclusively a safeguarding issue and forms part of an issue that would normally be included in a reference, e.g. misconduct or poor performance. Low-level safeguarding concerns will not be included in a reference, unless they have comprised a pattern of behaviour that has met the harms threshold.

11. Monitoring and review

This policy will be reviewed annually by the HR Director and Head of Safeguarding in partnership with Headteachers and DSLs, and will be updated in response to any new safeguarding requirements or concerns surrounding the wider cultural issues in the trust. Policy compliance across all SAST schools will be monitored by the Safeguarding Lead on the Trust Board.

DETAILS OF ANY CHILDREN OR YOUNG PEOPLE INVOLVED:

Name(s):

Do you believe there is a risk of harm to the above children or young people, either now or in the future as a result of the individual's behaviour? *Please explain your answer.*

NEXT STEPS:

What would you like to see happen in response to your concern?

Are you willing to meet with the Headteacher and DSL to discuss your concern?
[CEO and Head of Safeguarding for staff working in the central team]
Please circle as appropriate

Yes

No

Please state any other information that you believe is relevant to the processing of this concern.

Signature:

FOR USE BY THE SAFEGUARDING TEAM UPON RECEIPT OF CONCERN:

Date and Time concern received:	
Signature of HOS or DSL or Deputy DSL <i>(Please state)</i>	
Actions to be taken, e.g. no action, investigation, reclassification as allegation meeting the harms threshold.	